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| APPLICATION NO.                               | FILING DATE                       | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.     | CONFIRMATION NO. |
|---|-----------------------------------|----------------------|-------------------------|------------------|
| 09/513,362                                    | 02/25/2000                        | Mark S Chee          | A-67851-2/DJB/RMS/DCF   | 7034             |
| 41552 7590 05/01/2006 MCDERMOTT, WILL & EMERY |                                   |                      | EXAMINER                |                  |
|   |                                   |                      | STRZELECKA, TERESA E    |                  |
| 4370 LA JOLI<br>SAN DIEGO,                    | LA VILLAGE DRIVE, SUI<br>CA 92122 | TE 700               | ART UNIT                | PAPER NUMBER     |
| · · ·   |                                   |                      | 1637                    |                  |
|   |                                   |                      | DATE MAILED: 05/01/2006 | 5                |

Please find below and/or attached an Office communication concerning this application or proceeding.

## **Advisory Action**

| Application No. | Applicant(s) |
|-----------------|--------------|
| 09/513,362      | CHEE ET AL.  |
|                 |              |
| Examiner        | Art Unit     |

Before the Filing of an Appeal Brief --The MAILING DATE of this communication appears on the cover sheet with the correspondence address --THE REPLY FILED 14 April 2006 FAILS TO PLACE THIS APPLICATION IN CONDITION FOR ALLOWANCE. 1. X The reply was filed after a final rejection, but prior to or on the same day as filing a Notice of Appeal. To avoid abandonment of this application, applicant must timely file one of the following replies: (1) an amendment, affidavit, or other evidence, which places the application in condition for allowance; (2) a Notice of Appeal (with appeal fee) in compliance with 37 CFR 41.31; or (3) a Request for Continued Examination (RCE) in compliance with 37 CFR 1.114. The reply must be filed within one of the following time periods: a) The period for reply expires \_\_\_\_ \_\_\_months from the mailing date of the final rejection. b) 🔀 The period for reply expires on: (1) the mailing date of this Advisory Action, or (2) the date set forth in the final rejection, whichever is later. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of the final rejection. Examiner Note: If box 1 is checked, check either box (a) or (b). ONLY CHECK BOX (b) WHEN THE FIRST REPLY WAS FILED WITHIN TWO MONTHS OF THE FINAL REJECTION. See MPEP 706.07(f). Extensions of time may be obtained under 37 CFR 1.136(a). The date on which the petition under 37 CFR 1.136(a) and the appropriate extension fee have been filed is the date for purposes of determining the period of extension and the corresponding amount of the fee. The appropriate extension fee under 37 CFR 1.17(a) is calculated from: (1) the expiration date of the shortened statutory period for reply originally set in the final Office action; or (2) as set forth in (b) above, if checked. Any reply received by the Office later than three months after the mailing date of the final rejection, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). NOTICE OF APPEAL 2. The Notice of Appeal was filed on \_\_\_\_. A brief in compliance with 37 CFR 41.37 must be filed within two months of the date of filing the Notice of Appeal (37 CFR 41.37(a)), or any extension thereof (37 CFR 41.37(e)), to avoid dismissal of the appeal. Since a Notice of Appeal has been filed, any reply must be filed within the time period set forth in 37 CFR 41.37(a). AMENDMENTS 3. 🔀 The proposed amendment(s) filed after a final rejection, but prior to the date of filing a brief, will <u>not</u> be entered because (a) They raise new issues that would require further consideration and/or search (see NOTE below); (b) They raise the issue of new matter (see NOTE below); (c) They are not deemed to place the application in better form for appeal by materially reducing or simplifying the issues for appeal; and/or (d) They present additional claims without canceling a corresponding number of finally rejected claims. NOTE: See Continuation Sheet. (See 37 CFR 1.116 and 41.33(a)). 4. 🔲 The amendments are not in compliance with 37 CFR 1.121. See attached Notice of Non-Compliant Amendment (PTOL-324). Applicant's reply has overcome the following rejection(s): 6. Newly proposed or amended claim(s) would be allowable if submitted in a separate, timely filed amendment canceling the non-allowable claim(s). 7. 🔯 For purposes of appeal, the proposed amendment(s): a) 🔯 will not be entered, or b) 🔲 will be entered and an explanation of how the new or amended claims would be rejected is provided below or appended. The status of the claim(s) is (or will be) as follows: Claim(s) allowed: Claim(s) objected to: Claim(s) rejected: 1-38 and 40-43. Claim(s) withdrawn from consideration: AFFIDAVIT OR OTHER EVIDENCE 8. 🗌 The affidavit or other evidence filed after a final action, but before or on the date of filing a Notice of Appeal will not be entered because applicant failed to provide a showing of good and sufficient reasons why the affidavit or other evidence is necessary and was not earlier presented. See 37 CFR 1.116(e). 9. The affidavit or other evidence filed after the date of filing a Notice of Appeal, but prior to the date of filing a brief, will not be entered because the affidavit or other evidence failed to overcome all rejections under appeal and/or appellant fails to provide a showing a good and sufficient reasons why it is necessary and was not earlier presented. See 37 CFR 41.33(d)(1). 10. The affidavit or other evidence is entered. An explanation of the status of the claims after entry is below or attached. REQUEST FOR RECONSIDERATION/OTHER 11. 🛛 The request for reconsideration has been considered but does NOT place the application in condition for allowance because: See Continuation Sheet. 12. Note the attached Information Disclosure Statement(s), (PTO/SB/08 or PTO-1449) Paper No(s). 13. 
Other: Terera Strelection Teresa E Strzelecka **Primary Examiner** Art Unit: 1637

Continuation of 3. NOTE: Applicants added new claims 44-50 without cancellation of a corresponding number of claims. The newly added claims would require additional search.

Continuation of 11. does NOT place the application in condition for allowance because: Applicants' arguments presented in the reply filed April 14, 2006 are not persuasive. First, since Applicants mix the rejection of claims and the examiner's response to an amendment filed December 19, 2005, the facts need to be set straight. First, Rothberg et al. teach attachment of both the primers and the enzymes to a solid support, which is a fiber optic surface (see the Final rejection). The pyrosequencing reactions take place in a single reaction chamber enclosing the whole fiber optic surface (see Fig. 3), not, as interpreted by Applicants, single wells. Walt et al. teach an array of beads immobilized on a surface of an fiber optic surface (see the Final rejection). The motivation to use microbeads immobilized on a surface of fiber optic bundle rather than nucleic acids or proteins immobilized directly on the surface of the fiber optic bundle comes from Walt et al., who teach the following: (col. 3, lines 13-26):

"The innovation of the two previous patents was the placement of multiple chemical functionalities at the end of a single optical fiber bundle sensor. This configuration yielded an analytic chemistry sensor that could be remotely monitored via the typically small bundle. The drawback, however, was the difficulty in applying the various chemistries associated with the chemical functionalities at the sensor's end; the functionalities were built on the sensor's end in a serial fashion. This was a slow process, and in practice, only tens of functionalities could be applied. Accordingly, compositions and methods are desirable that allow the generation of large fiber optic arrays including microspheres that can be either encoded or decoded to allow the detection of target analytes." and (col. 4, lines 35-56); "The present invention is based on two synergistic inventions: 1) the development of a bead-based analytic chemistry system in which beads, also termed microspheres, carrying different chemical functionalities may be mixed together while the ability is retained to identify the functionality of each bead using an optically interrogatable encoding scheme (an "optical signature"); and 2) the use of a substrate comprising a patterned surface containing individual sites that can bind or associate individual beads. This allows the synthesis of the bioactive agents (i.e. compounds such as nucleic acids and antibodies) to be separated from their placement on an array, i.e. the bioactive agents may be synthesized on the beads, and then the beads are randomly distributed on a patterned surface. Since the beads are first coded with an optical signature, this means that the array can later be "decoded", i.e. after the array is made, a correlation of the location of an individual site on the array with the bead or bioactive agent at that particular site can be made. This means that the beads may be randomly distributed on the array, a fast and inexpensive process as compared to either the in situ synthesis or spotting techniques of the prior art.".

Therefore, it is clear that there is a very strong motivation to use beads on a fiber optic surface since this allows for fast generation of large fiber optic arrays.

Further, Applicants' arguments about Rothberg teaching away from using beads in a solution assays miss the point of the fact that in the bead microarray of Walt et al. the beads are immobilized in the wells, therefore not in danger of being washed away, which adds additional motivation to use them.